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CONFIRMATION NO. 4960

<b>SERIAL NUMBER</b> 09/857,496	<b>FILING OR 371(c) DATE</b> 06/05/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3691	<b>ATTORNEY DOCKET NO.</b> 053775-5001-US
<b>APPLICANTS</b> Bradley J. McGill, Birmingham, AL; Evan J Winston, New York, NY;				
<b>** CONTINUING DATA *****</b> This application is a 371 of PCT/US01/11748 05/04/2001				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>** SMALL ENTITY **</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> AL	<b>SHEETS DRAWING</b> 11	<b>TOTAL CLAIMS</b> 64
				<b>INDEPENDENT CLAIMS</b> 8
<b>ADDRESS</b> 28977				
<b>TITLE</b> DERIVATIVE SECURITIES AND SYSTEM FOR TRADING SAME				
<b>FILING FEE RECEIVED</b> 951	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	